# Training/Supervising UI Hearing Officers

Appeal Tribunal of the
Oklahoma Employment Security
Commission (OESC)

(Lower Authority)

## **Current Structure**

#### 17 Hearing Officers, including:

- Director of Appeals,
- Chief Hearing Officer, and
- (2) Hearing Officer Supervisors

#### 10 Support Staff:

- (6) Appeals Support and
- (4) Appeals Processing

## Hearing Officer Makeup

17 Total Hearing Officers:,

- (12) Attorneys, and
- (5) Non-Attorneys whom came to through the Agency

No statutory requirement that Hearing Officers be Attorneys

Appeals employees are Merit Protected but are not unionized

## Recent Appeal Volume

2014 Total: 13,041

2013 Total: 12,535

2012 Total: 15,857

## **Hearing Officer Supervisors**

#### Each Supervisor:

- has 6-7 Hearing Officers reporting to them
- Provides day-to-day direction
- and handles PMPs.

The Supervisors were added in 2014 in large part due Oklahoma State Legislature requirement that:

- 5% of misconduct cases by reviewed to ensure a proper decision was made.
- By Rule, the review is by the Director (or designee) and two Hearing Officers.

- ETA-382 criteria used to make the Legislative Review to be consistent with a known standard.
- Legislative Requirement will double or triple the number of hearings to be graded each year.
- Therefore, Hearing Officer Supervisors carry a reduced case load because of the grading.

## **Grading and PMPs**

The grades, whether the regular USDOL or Legislative Samples, are included in the PMPs for Hearing Officers.

- Hearing Officers generally Meet Standards if
- their overall average is 85-91%,
- The Due Process Criteria is 90-92%, and
- same for the grading of the Written Decision.

#### Additionally, PMPs include requirements:

- to submit written decisions within two days of the hearing in 80% of the graded cases;
- and meet 30 day time lapse within five percent of the overall Appeal Tribunal Average.

## Training of New Hearing Officers

Training has evolved over time from "throwing them to the wolves" during the Recession to a more structured approach that first included Mentors and now the Supervisors.

#### **Training consists of three modules:**

• Module One: Introduction to the Commission and the Appeal Tribunal

• Module Two: Preparation to Conduct a Hearing.

• Module Three: Holding Hearings at increasing rate per week

Depending on the experience of the incoming Hearing Officers, the time in each Module is roughly:

#### **Module One:**

- usually one week:
- Covers Introduction to the Commission and the Appeal Tribunal

### **Module Two**

- Lasts 2-4 weeks:
- Covers Preparation to Conduct a Hearing.

#### Consists of

- individual review of the Act and Rules,
- the Commission's Precedent Manual;
- listening to Hearings of other officers;
- mechanics of writing a case
- use of WordPerfect Macros; and
- 382 grading a case(s) with experienced Hearing Officer (s)

## **Module Three**

- holding hearings at an ever increasing weekly rate.
- For example, 4 first week; 8 week two; etc., until the Hearing Officer reaches full load of 28.

#### In Module Three

- The Hearing Officer starts holding Hearings with Mentor or Supervisor present.
- At the appropriate time, the Mentor or Supervisor listens in remotely; and
- after a time lets the Hearing Officer go solo, except upon request

## "Study Hall"

- a periodic session (preferably each week)
- New Hearing Officers meet with more experienced individuals for an open discussion.
- Often certain topics are set in advance (such evidence in an Administrative Hearing, including Hearsay),
- on other occasions it can be an open forum